# Design and optimization of an Er-doped $Al_2O_3$ adiabatic waveguide taper for double layer integration with $Si_3N_4$ platform

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#### Abstract

The integration of active-passive material platforms is needed to increase the number of optical components onchip, i.e. achieve more functionalities on PICs. Adiabatic waveguide tapers are of great interest for applications that require short and low-loss transitions between different material platforms. In this work, an Er-doped  $Al_2O_3$ adiabatic waveguide taper is designed for the integration with the standard asymmetric double stripe (ADS)  $Si_3N_4$ TriPleX technology. The tolerance of the taper design to fabrication variations is studied in the wavelength range of 980 nm – 1630 nm. The simulated total loss of the final taper design is  $\leq 0.1$  dB per coupler.

### Adiabatic coupler design

- Er-doped  $Al_2O_3$  separated from  $Si_3N_4$  by  $SiO_2$  spacer (100-300 nm).
- Both waveguides are tapered laterally.
  - Taper angles of 0.039° (Si $_3N_4$ ) and 0.057° (Er<sup>3+</sup>:Al $_2O_3$ )
- Taper tips are limited by fabrication resolution.
  - $Si_3N_4 \rightarrow Stepper lithography (\approx 300 nm)$
  - $Er^{3+}:Al_2O_3 \rightarrow Electron beam lithography (~ 150 nm)$



Top-view

λ=1480 nm

No misalignment

#### Mode mismatch loss

- Change in effective refractive index in CS(i)-(ii) and CS(iii)-(iv), result in a mode mismatch loss.
- Mode mismatch loss as a function of misalignment, variations in SiO<sub>2</sub> spacer thickness and Er<sup>3</sup>+:Al<sub>2</sub>O<sub>3</sub> taper width.



### Loss in tapered region

Power transition loss from CS (ii) to CS (iii). Tolerance to lateral misalignment increases for longer wavelengths.



### **Total coupler loss**

- Sum of losses due to mode mismatch at the taper tips and coupling loss in tapered region.
- For misalignments < 0.8  $\mu$ m the total coupler loss is < 0.1 dB for tolerant range of Er<sup>3+</sup>:Al<sub>2</sub>O<sub>3</sub> taper width.
- Taper length can be reduced while still having a total loss < 0.1 dB



### Conclusion

- Adiabatic coupler design for double layer integration of Er<sup>3+</sup>:Al<sub>2</sub>O<sub>3</sub> in the commercial Si<sub>3</sub>N<sub>4</sub> TriPleX platform.
- Low-loss (≤ 0.1 dB) over a broad wavelength range (980 nm 1630 nm).
- High fabrication tolerance to EBL misalignment, Er<sup>3+</sup>:Al<sub>2</sub>O<sub>3</sub> taper tip width variations, and thickness variations in the SiO<sub>2</sub> spacer.
- Possible to reduce the taper length to achieve compact devices.
- Explore different taper geometries.

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